

Improved Key Recovery Attacks on Reduced-Round AES with Practical Data and Memory Complexities

Achiya Bar-On

Nathan Keller



אוניברסיטת בר-אילן
Bar-Ilan University

Orr Dunkelman



אוניברסיטת חיפה
University of Haifa
جامعة حيفا

Eyal Ronen

Adi Shamir



מכון ויצמן למדע
WEIZMANN INSTITUTE OF SCIENCE

AES

- AES is the **best known and most widely used** secret key cryptosystem
 - Almost all secure connections on the Internet use AES
- Its security had been analyzed for more than **20 years**
- AES has either **10, 12, or 14** rounds depending on the key size (**128, 192, 256** bits)
- To date there is **no known** attack on full AES which is significantly faster than **exhaustive search**

Analyzing reduced round AES

- Interesting as a platform for **analyzing** the remaining **security margins**
- Several **Light Weight Cryptosystems and Hash functions** use **4 or 5** rounds AES as a building block
 - 4-Round AES: ZORRO, LED and AEZ
 - 5-Round AES: WEM, Hound and ELmD

Analyzing reduced round AES

- There are 3 relevant parameters:
Time (**T**), Memory (**M**) and Data (**D**)
- To combine these 3 complexity measures it is common to summarize them as a single number **$\max(T, M, D)$** defined as their **Total Complexity**

Best attacks on 5 round AES

- Only **a few techniques** led to successful attacks against 5-round AES

Technique	Complexity Max(T, D, M)	Year
Square	2^{32}	2000
Imp. Differential	2^{32}	2001
Yoyo	2^{32}	2017

Recent attacks on 5 rounds AES

- In 2017 a new technique ([the multiple-of-8 attack \[GRR, EC'17\]](#)) was proposed, and in 2018 Grassi had applied a special version of it ([the mixture-differentials attack](#)) to 5 round AES
- However, its complexity was **not better than previous attacks**

Best attacks on 5 round AES - updated

Technique	Complexity Max(T, D, M)	Year
Square	2^{32}	2000
Imp. Differential	2^{32}	2001
Yoyo	2^{32}	2017
Grassi	2^{32}	2018

Our new result

- Breaking the 20 years old 2^{32} barrier by a factor of **1000**:

Technique	Complexity Max(T, D, M)	Year
Square	2^{32}	2000
Imp. Differential	2^{32}	2001
Yoyo	2^{32}	2017
Grassi	2^{32}	2018
Our new result	2^{22}	2018

AES structure

- 10, 12, or 14 rounds, where each round of AES consists of:

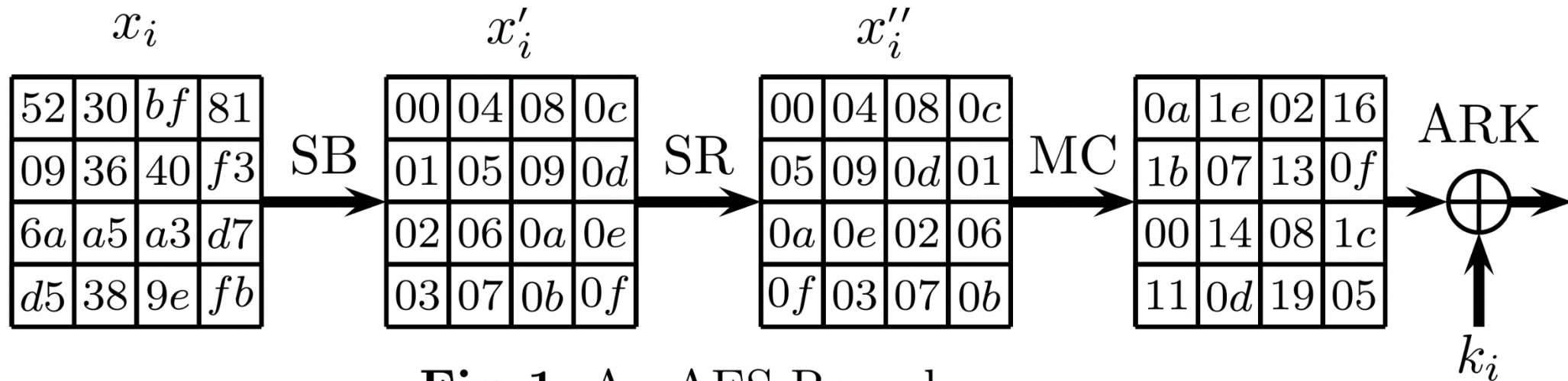
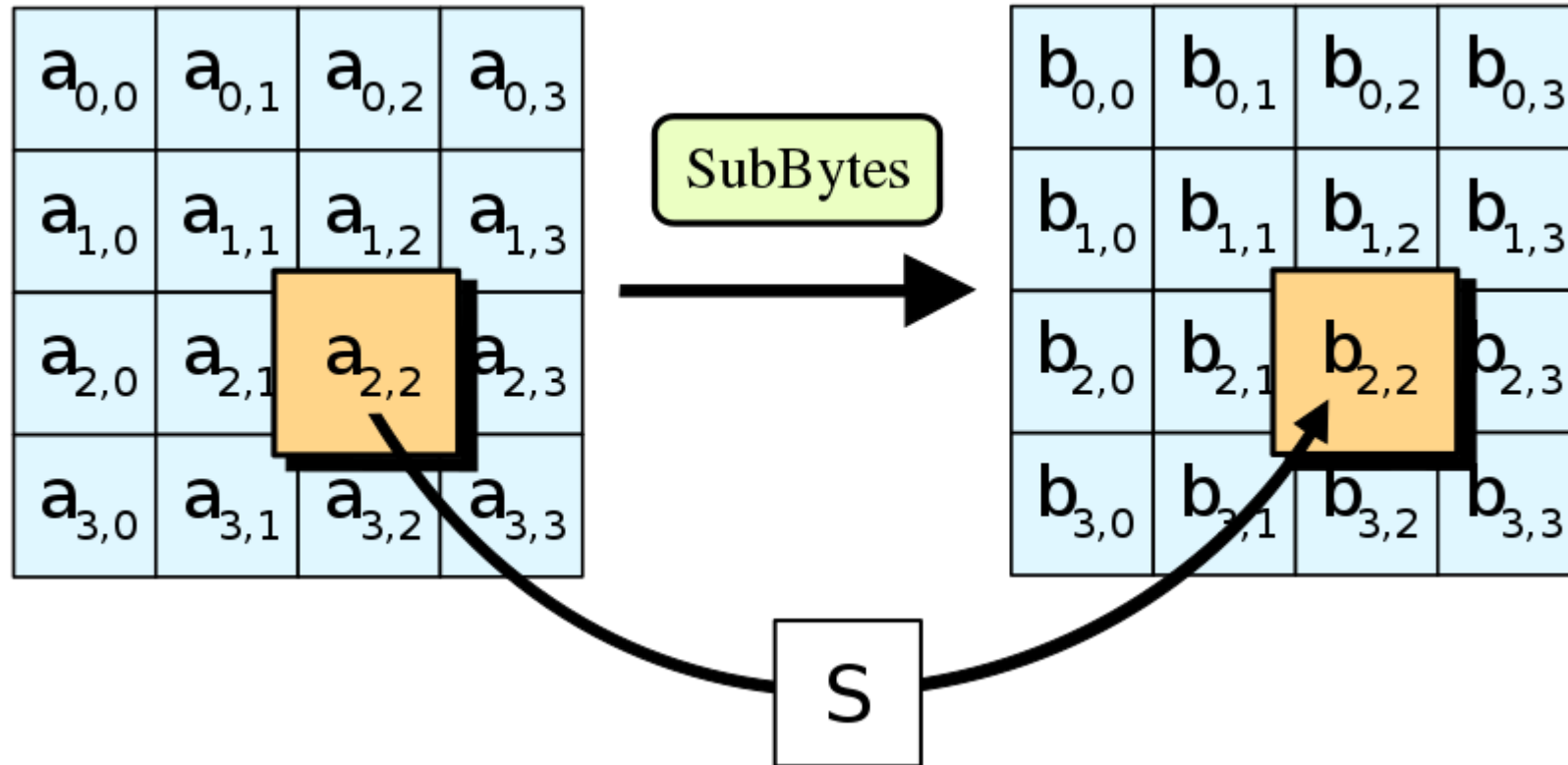


Fig. 1. An AES Round

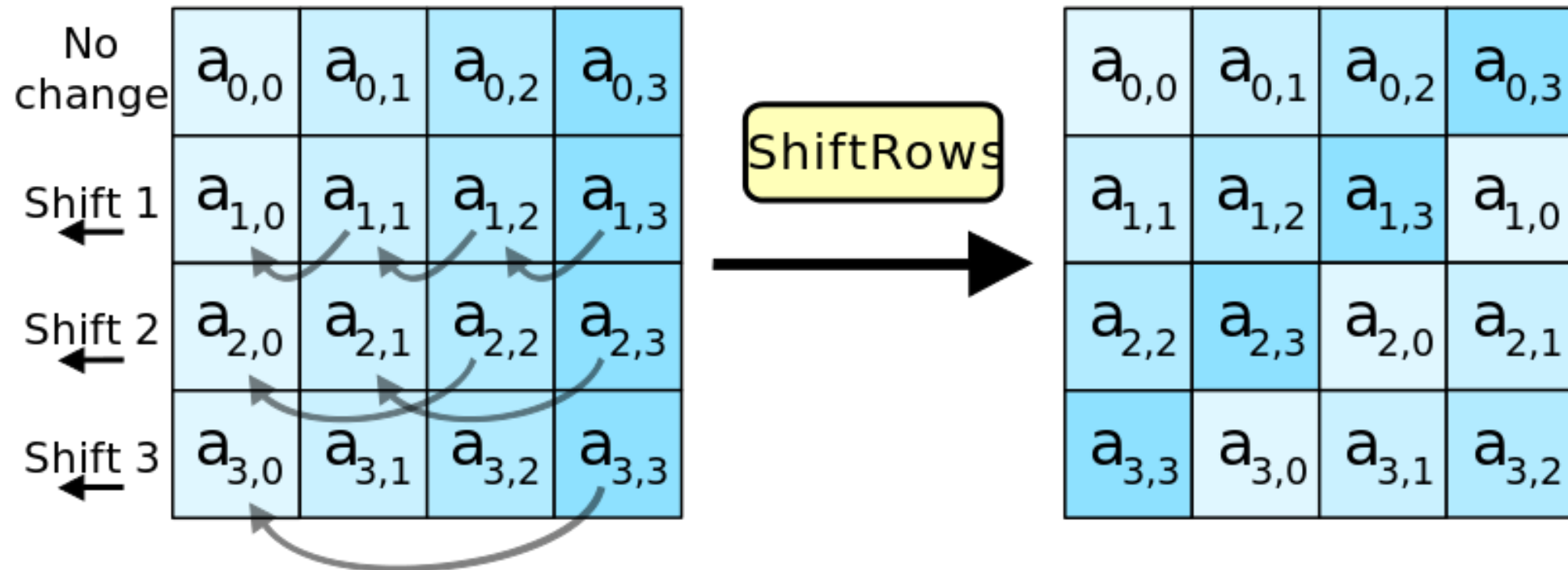
- Extra ARK operation before the first round
- No Mix Column in the last round

SB – SubBytes Operation

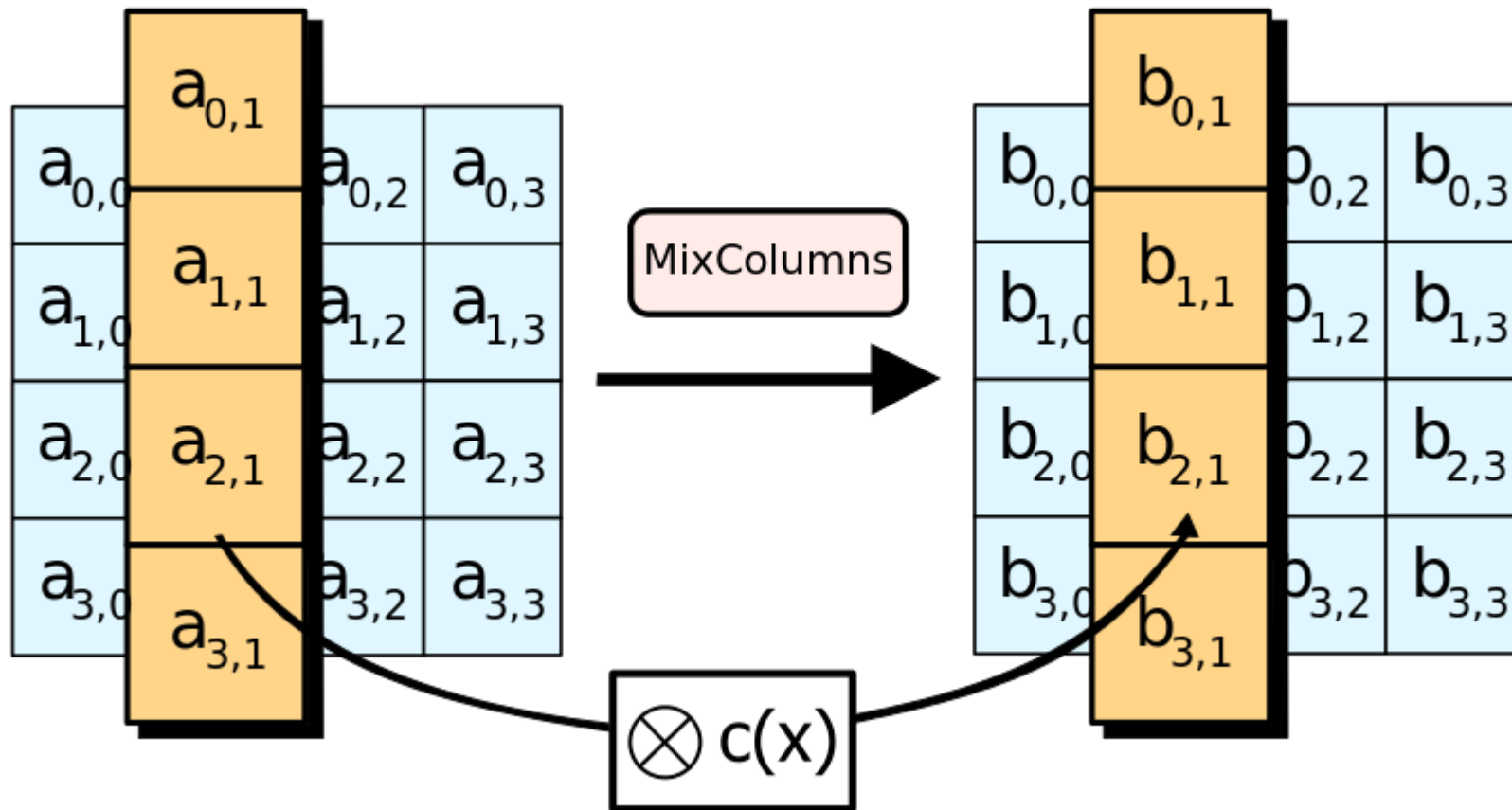


By User:Matt Crypto - Own work, Public Domain,
<https://commons.wikimedia.org/w/index.php?curid=1118913>

SR – ShiftRows Operation

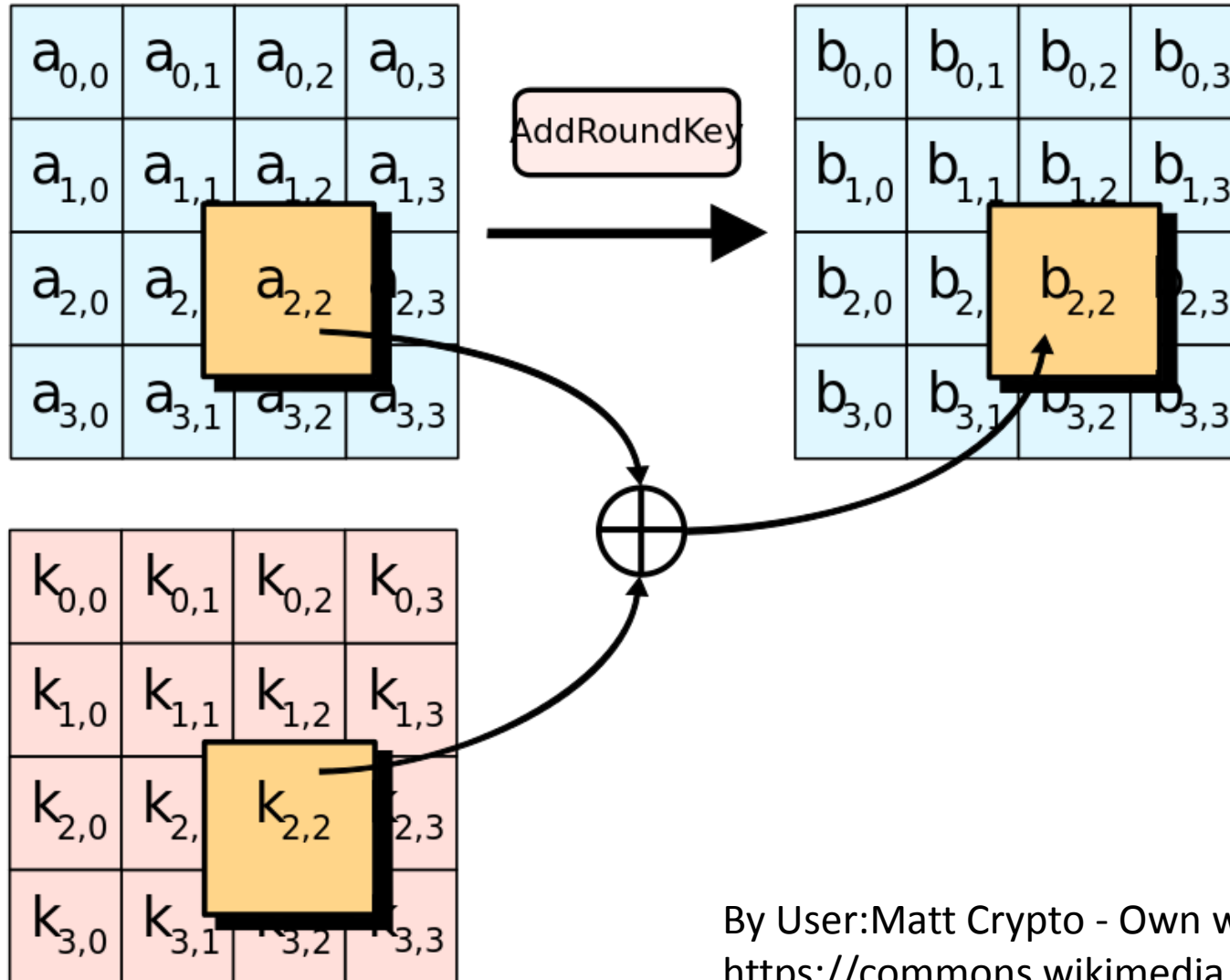


MC – MixColumn Operation



By User:Matt Crypto - Own work, Public Domain,
<https://commons.wikimedia.org/w/index.php?curid=1118874>

ARK – Add Round Key Operation



By User:Matt Crypto - Own work, Public Domain,
<https://commons.wikimedia.org/w/index.php?curid=1118831>

The notation of mixtures (Grassi et. al 2017)

- What is a **mixture** of an AES state pair (x,y)?

X

A1			
B1			
C1			
D1			

Y

A2			
B2			
C2			
D2			

	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

The notation of mixtures (Grassi et. al 2017)

- What is a **mixture** of an AES state pair (x,y)?

X

A1			
B1			
C1			
D1			

Z

A1			
B2			
C1			
D2			

Y

A2			
B2			
C2			
D2			

W

A2			
B1			
C2			
D1			

	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

The notation of mixtures (Grassi et. al 2017)

- What is a **mixture** of an AES state pair (x,y)?

X

A1			
B1			
C1			
D1			

Y

A2			
B2			
C2			
D2			

Z

A1			
B2			
C1			
D2			

W

A2			
B1			
C2			
D1			

	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

The notation of mixtures (Grassi et. al 2017)

- What is a **mixture** of an AES state pair (x,y)?

X

A1			
B1			
C1			
D1			

Z

A1			
B2			
C1			
D2			

Y

A2			
B2			
C2			
D2			

W

A2			
B1			
C2			
D1			

	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

The notation of mixtures (Grassi et. al 2017)

- What is a **mixture** of an AES state pair (x,y)?

X

A1			
B1			
C1			
D1			

Y

A2			
B2			
C2			
D2			

Z

A1			
B2			
C1			
D2			

W

A2			
B1			
C2			
D1			

	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

The evolution of mixtures under AES

- Consider the following 4 inputs to round i

X

A1			
B1			
C1			
D1			

Z

A1			
B2			
C1			
D2			

Y

A2			
B2			
C2			
D2			

W

A2			
B1			
C2			
D1			



	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

The evolution of mixtures under AES

- Round i after **Sub Byte**

X

A1*			
B1*			
C1*			
D1*			

Z

A1*			
B2*			
C1*			
D2*			

Y

A2*			
B2*			
C2*			
D2*			

W

A2*			
B1*			
C2*			
D1*			

	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

The evolution of mixtures under AES

- Round i after **Shift Rows**

X

A1*			
			B1*
		C1*	
	D1*		

Z

A1*			
			B2*
		C1*	
	D2*		

Y

A2*			
			B2*
		C2*	
	D2*		

W

A2*			
			B1*
		C2*	
	D1*		

	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

The evolution of mixtures under AES

- Round i after **Mix Column**

X

A1c	D1c	C1c	B1c
-----	-----	-----	-----

Y

A2c	D2c	C2c	B2c
-----	-----	-----	-----

Z

A1c	D2c	C1c	B2c
-----	-----	-----	-----

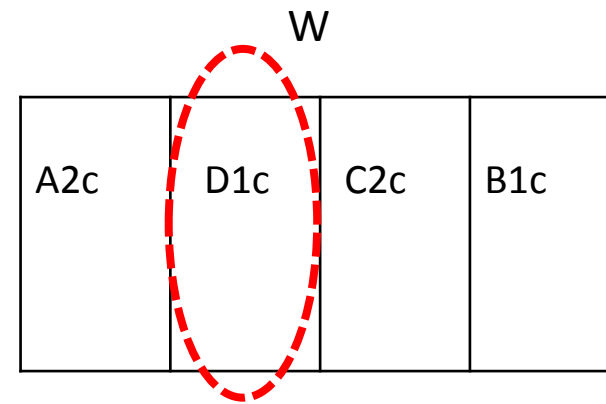
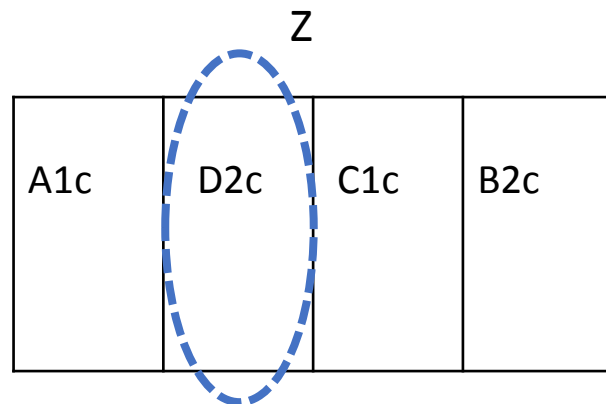
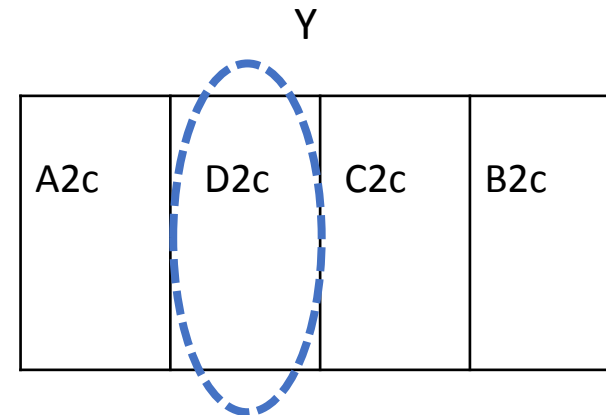
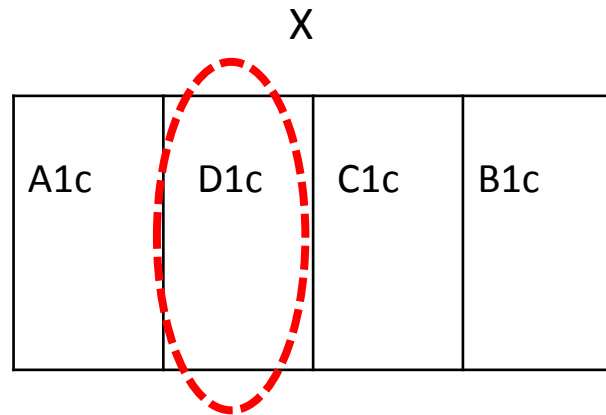
W

A2c	D1c	C2c	B1c
-----	-----	-----	-----

	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

The evolution of mixtures under AES

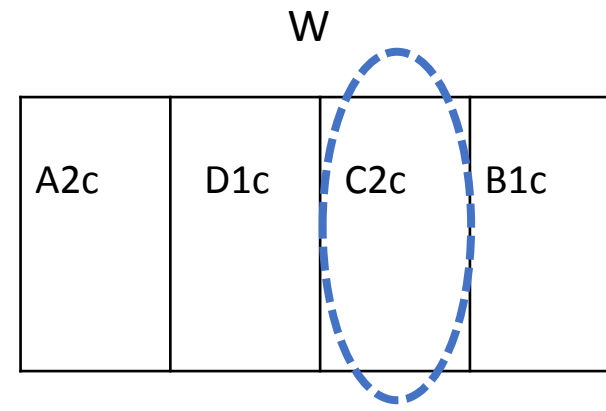
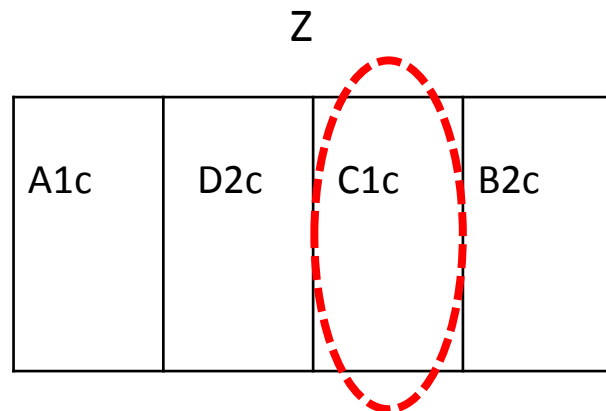
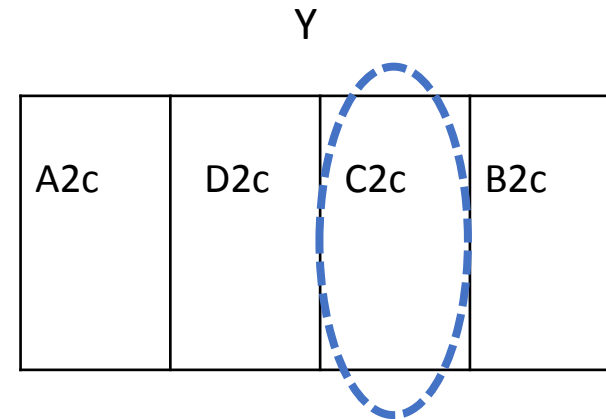
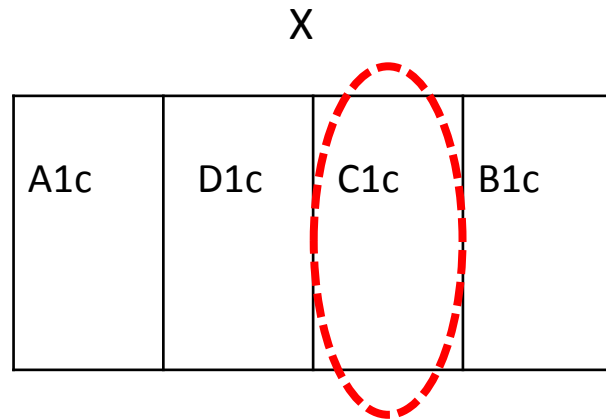
- Round i after **Mix Column**



	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

The evolution of mixtures under AES

- Round i after **Mix Column**



	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

The evolution of mixtures under AES

- Round i after **Add Round Key**

X

A1c*	D1c*	C1c*	B1c*
------	------	------	------

Y

A2c*	D2c*	C2c*	B2c*
------	------	------	------

Z

A1c*	D2c*	C1c*	B2c*
------	------	------	------

W

A2c*	D1c*	C2c*	B1c*
------	------	------	------

	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

The evolution of mixtures under AES

- Input to round $i+1$

X

A1c*	D1c*	C1c*	B1c*
------	------	------	------

Z

A1c*	D2c*	C1c*	B2c*
------	------	------	------

Y

A2c*	D2c*	C2c*	B2c*
------	------	------	------

W

A2c*	D1c*	C2c*	B1c*
------	------	------	------



	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

The evolution of mixtures under AES

- Round $i+1$ after Sub Byte

X

A1c'	D1c'	C1c'	B1c'
------	------	------	------

Z

A1c'	D2c'	C1c'	B2c'
------	------	------	------

Y

A2c'	D2c'	C2c'	B2c'
------	------	------	------

W

A2c'	D1c'	C2c'	B1c'
------	------	------	------

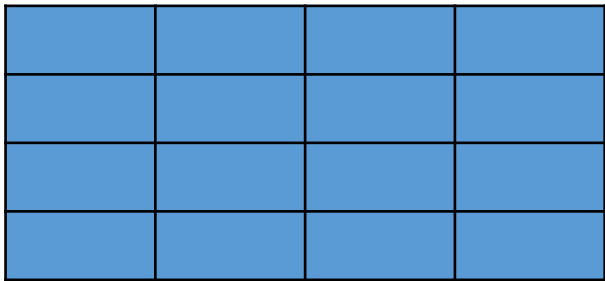


	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

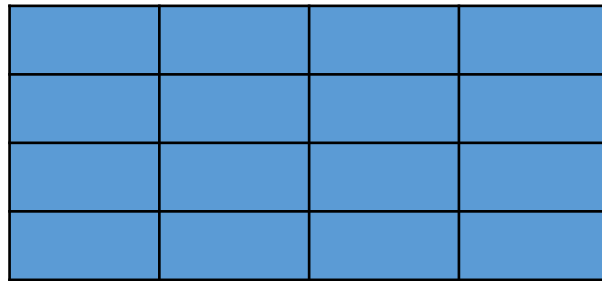
The evolution of mixtures under AES

- Implies weaker property in round $i+1$ after Sub Byte

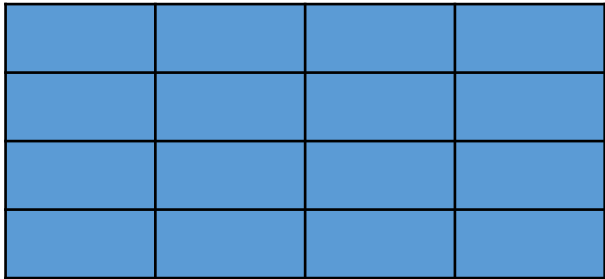
X



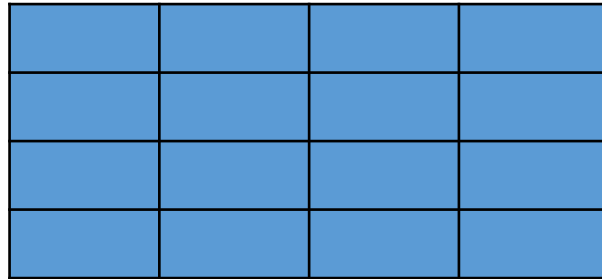
Y



Z



W

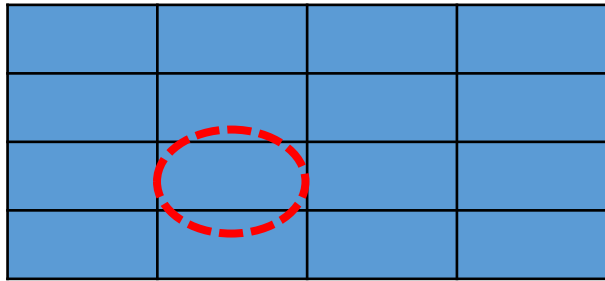


	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

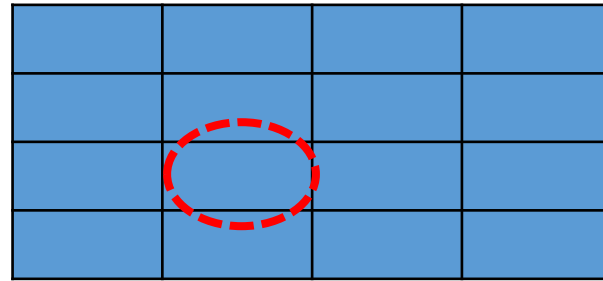
The evolution of mixtures under AES

- Implies weaker property in round $i+1$ after Sub Byte

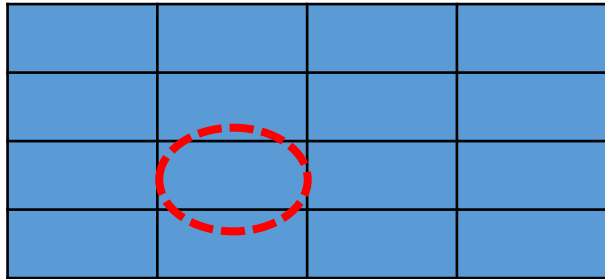
X



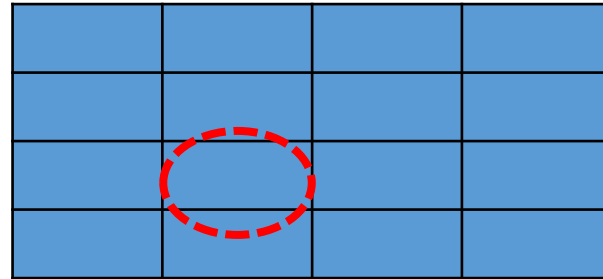
Y



Z



W

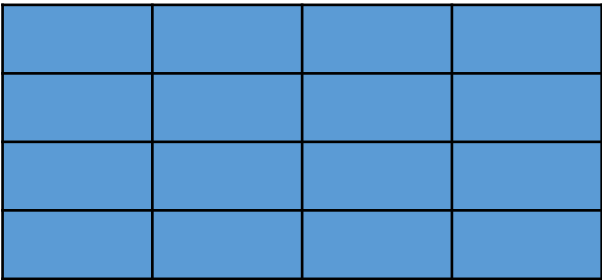


	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

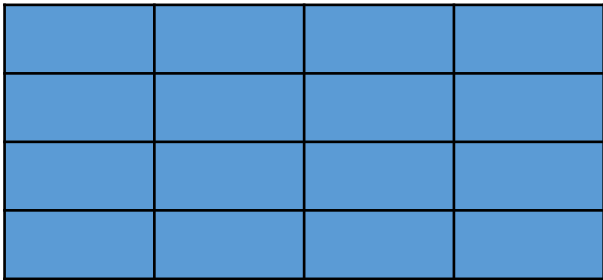
The evolution of mixtures under AES

- Round $i+1$ after Shift Row, Mix Column and ARK

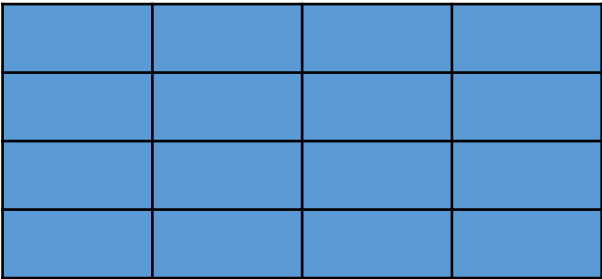
X



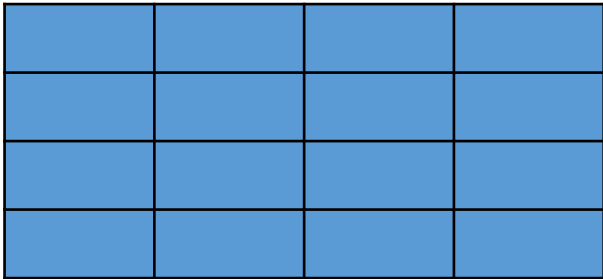
Y



Z



W

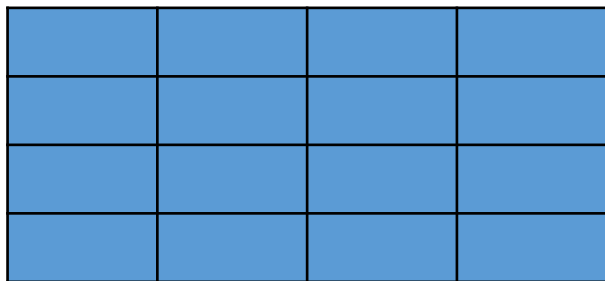


	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

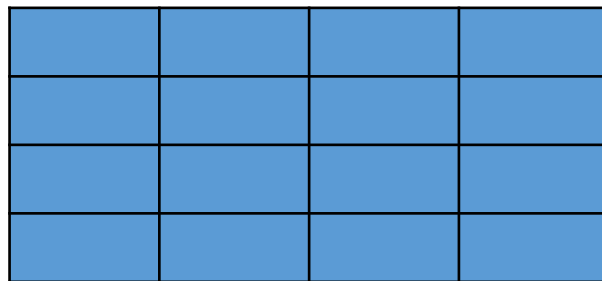
The evolution of mixtures under AES

- Input to round $i+2$

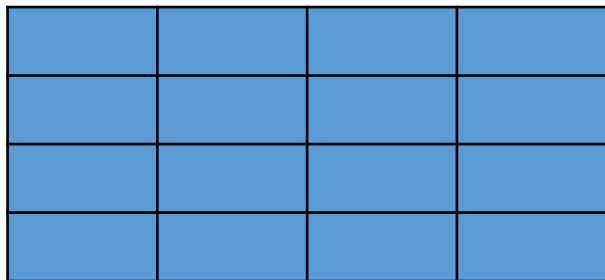
X



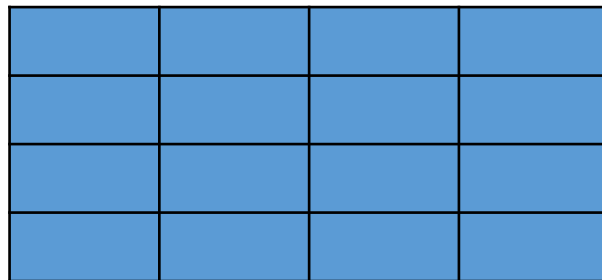
Y



Z



W



	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

Extending this property to 4 rounds

- Assume states (X,Y) are **equal** in one of their **diagonals**

X

A			
	B		
		C	
			D

Y

A			
	B		
		C	
			D



	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

Extending this property to 4 rounds

- Assume states (X,Y) are **equal** in one of their **diagonals**

X

A			
	B		
		C	
			D

Y

A			
	B		
		C	
			D

- Then: Z

A'			
	B'		
		C'	
			D'

W

A'			
	B'		
		C'	
			D'



	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

Extending this property to 4 rounds

- Round $i+2$ after Sub Byte

X

A*			
	B*		
		C*	
			D*

Z

A'*			
	B'*		
		C'*	
			D'*

Y

A*			
	B*		
		C*	
			D*

W

A'*			
	B'*		
		C'*	
			D'*



	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

Extending this property to 4 rounds

- Round $i+2$ after **Shift rows**

X

A*			
B*			
C*			
D*			

Z

A'*			
B'*			
C'*			
D'*			

Y

A*			
B*			
C*			
D*			

W

A'*			
B'*			
C'*			
D'*			



	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

Extending this property to 4 rounds

- Round $i+2$ after Mix Column

X

A°			
B°			
C°			
D°			

Y

A°			
B°			
C°			
D°			

Z

A°'			
B°'			
C°'			
D°'			

W

A°'			
B°'			
C°'			
D°'			



	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

Extending this property to 4 rounds

- Round $i+2$ after Add Round Key

X

A*			
B*			
C*			
D*			

Y

A*			
B*			
C*			
D*			

Z

A*'			
B*'			
C*'			
D*'			

W

A*'			
B*'			
C*'			
D*'			



	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

Extending this property to 4 rounds

- Then in the input to round $i+3$ we get

X

A*			
B*			
C*			
D*			

Y

A*			
B*			
C*			
D*			

Z

A*'			
B*'			
C*'			
D*'			

W

A*'			
B*'			
C*'			
D*'			



	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

Extending this property to 4 rounds

- Round $i+3$ after sub byte

X

$A^\wedge$			
$B^\wedge$			
$C^\wedge$			
$D^\wedge$			

Z

$A^\wedge'$			
$B^\wedge'$			
$C^\wedge'$			
$D^\wedge'$			

Y

$A^\wedge$			
$B^\wedge$			
$C^\wedge$			
$D^\wedge$			

W

$A^\wedge'$			
$B^\wedge'$			
$C^\wedge'$			
$D^\wedge'$			



	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

Extending this property to 4 rounds

- Round $i+3$ after **Shift Rows** and before **Mix Column**

X

$A^\wedge$			
			$B^\wedge$
		$C^\wedge$	
	$D^\wedge$		

Z

$A'^\wedge$			
			$B'^\wedge$
		$C'^\wedge$	
	$D'^\wedge$		

Y

$A^\wedge$			
			$B^\wedge$
		$C^\wedge$	
	$D^\wedge$		

W

$A'^\wedge$			
			$B'^\wedge$
		$C'^\wedge$	
	$D'^\wedge$		



	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

AES 4 Round Distinguisher

- Last round of AES has no **Mix Column**



X

$A^{\wedge}$			
			$B^{\wedge}$
		$C^{\wedge}$	
	$D^{\wedge}$		

Y

$A^{\wedge}$			
			$B^{\wedge}$
		$C^{\wedge}$	
	$D^{\wedge}$		

Z

$A'^{\wedge}$			
			$B'^{\wedge}$
		$C'^{\wedge}$	
	$D'^{\wedge}$		

W

$A'^{\wedge}$			
			$B'^{\wedge}$
		$C'^{\wedge}$	
	$D'^{\wedge}$		

	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

A 5 Round AES Attack (Grassi 18)

- Precede the **4 round** distinguisher with an **extra round before** it

	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

A 5 Round AES Attack (Grassi 18)

- Precede the **4 round** distinguisher with an **extra round before** it
- We **encrypt all possible** values of A,B,C,D

A			
	B		
		C	
			D

	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

A 5 Round AES Attack (Grassi 18)

- Precede the **4 round** distinguisher with an **extra round before** it
- We **encrypt all possible** values of A,B,C,D

A			
	B		
		C	
			D

- Then as **input** to round **1** we get:

A'			
B'			
C'			
D'			

	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

A 5 Round AES Attack [Grassi 18]

- We look for a “good ciphertext pair”, and get the plaintext

X ciphertext

A [^]			
			B [^]
		C [^]	
	D [^]		

X plaintext

A			
	B		
		C	
			D

Y ciphertext

A [^]			
			B [^]
		C [^]	
	D [^]		

Y plaintext

A'			
	B'		
		C'	
			D'

	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

A 5 Round AES Attack [Grassi 18]

- For all 2^{32} possible key bytes: partially encrypt (**AKR**, **SB**, **SR**, **MC**)

X partial round encryption

A*			
B*			
C*			
D*			

Y partial round encryption

A'*			
B'*			
C'*			
D'*			

X plaintext

A			
	B		
		C	
			D

Y plaintext

A'			
	B'		
		C'	
			D'

	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

A 5 Round AES Attack [Grassi 18]

- Create a state mixture Z, W

X partial round encryption

A*			
B*			
C*			
D*			

Z partial round encryption

A*			
B'*			
C*			
D'*			

Y partial round encryption

A'*			
B'*			
C'*			
D'*			

W partial round encryption

A'*			
B*			
C'*			
D*			

	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

A 5 Round AES Attack [Grassi 18]

- Partially decrypt Z and W

Z plaintext

A°			
	B°		
		C°	
			D°

Z partial round encryption

A*			
B'*			
C*			
D'*			

W plaintext

A°'			
	B°'		
		C°'	
			D°'

W partial round encryption

A'*			
B*			
C'*			
D*			

	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

A 5 Round AES Attack [Grassi 18]

- Get Z and W ciphertexts, and check the equality condition

Z plaintext

A°			
	B°		
		C°	
			D°

W plaintext

A°'			
	B°'		
		C°'	
			D°'

Z ciphertext

?			
			?
		?	
	?		

W ciphertext

?			
			?
		?	
	?		

	Equal
A	Specific Value
	4 values Xor to 0
	Arbitrary Value

Our attack ideas

Attack	Complexity
Grassi's original attack	$T=2^{32}$, $D=2^{32}$, $M=2^{32}$

Our attack ideas

Attack	Complexity
Grassi's original attack	$T=2^{32}, D=2^{32}, M=2^{32}$
Reduce data to get one "good mixture"	$T=2^{47}, D=2^{24}, M=2^{24}$

Our attack ideas

Attack	Complexity
Grassi's original attack	$T=2^{32}, D=2^{32}, M=2^{32}$
Reduce data to get one "good mixture"	$T=2^{47}, D=2^{24}, M=2^{24}$
Switch order to iterate over pairs	$T=2^{33}, D=2^{24}, M=2^{24}$

Our attack ideas

Attack	Complexity
Grassi's original attack	$T=2^{32}, D=2^{32}, M=2^{32}$
Reduce data to get one “good mixture”	$T=2^{47}, D=2^{24}, M=2^{24}$
Switch order to iterate over pairs	$T=2^{33}, D=2^{24}, M=2^{24}$
Use precomputed table	$T=2^{29}, D=2^{24}, M=2^{24}$

Our attack ideas

Attack	Complexity
Grassi's original attack	$T=2^{32}, D=2^{32}, M=2^{32}$
Reduce data to get one “good mixture”	$T=2^{47}, D=2^{24}, M=2^{24}$
Switch order to iterate over pairs	$T=2^{33}, D=2^{24}, M=2^{24}$
Use precomputed table	$T=2^{29}, D=2^{24}, M=2^{24}$
Smart selection of input structure	$T=2^{22}, D=2^{22}, M=2^{22}$

Idea 1 - Reduce Data: The good

- There are many mixtures, but we only need **one of them**
- Grassi used 2^{32} data
 - 2^{32} encryptions $\rightarrow 2^{63}$ pairs $\rightarrow 2^{31}$ good pairs
- We use only 2^{24} data
 - 2^{24} encryptions $\rightarrow 2^{47}$ pairs $\rightarrow 2^{15}$ good pairs
 - For each key and mixture type:
We have the mixture in **our data** with probability $(2^{24}/2^{32})^2 = 2^{-16}$
 - There are 2^{15} pairs and 7 mixture types:
We have a **good mixture** with probability $1-(1-2^{-16})^{(7*2^{15})} \sim 0.97$

Idea 1 - Reduce Data: The bad

- We can thus **reduce** the data complexity
- However, we need to **go over all** 2^{15} pairs
 - So now $T = 2^{32} * 2^{15} = 2^{47}$
- This is only a **time \ data tradeoff**:
 - We reduce the data by a factor of 2^8
 - While increasing the time by a factor of 2^{15}

Idea 2 – Switch Order: The good

- We can change the **order of operations**, iterating over all pairs of pairs:
 - If we have a **mixture** after **ARK, SB, SR and MC** operations:
$$X_0'' \oplus Y_0'' \oplus Z_0'' \oplus W_0'' = 0$$
 - Holds for each byte **separately**, depending on a **single key byte**
$$SB(X_{0,0} \oplus k_0) \oplus SB(Y_{0,0} \oplus k_0) \oplus SB(Z_{0,0} \oplus k_0) \oplus SB(W_{0,0} \oplus k_0) = 0$$
 - Can find a **suggestion** for each of the 4 key bytes **independently**
 - Take the **4 key bytes** and **check for mixture** after 1 round

Idea 2 – Switch Order: The bad

- For each pair of pairs (quartet) we can get a 4 key bytes suggestion with $4 * 2^8$ S-Box applications
 - 2^{24} encryptions $\rightarrow 2^{47}$ pairs $\rightarrow 2^{15}$ “good pairs”
 - 2^{29} quartets $* 4 * 2^8$ S box = 2^{39} S-Box $\sim 2^{33}$ encryptions

Idea 3 - Precomputed Table

- We can use an optimized **precomputed table**
- Consider quartet of bytes of the form **(0, a, b, c)**
 - For each quartet we find a k such as:
$$SB(k) \oplus SB(a \oplus k) \oplus SB(b \oplus k) \oplus SB(c \oplus k) = 0$$
 - We get $(0, a, b, c)$ by $(0, y \oplus x, z \oplus x, w \oplus x)$
- We get a table of size 2^{24}
 - The order is irrelevant so we can arrange in increasing order:
save a factor of 6 to get $\sim 2^{21.4}$
 - Precomputation can be optimize to use $\sim 2^{24}$ **S Box** applications

Idea 4 – Smart Input Structure

- So far we get data and memory 2^{24} and time 2^{29}
- We can use just $2^{22.25}$ data by a smarter choice of input

A			
	B		
		C	

- E.g., A and B can get all 2^8 values each, C gets $2^{6.25}$ possible values
- We get a boost of 2^8 to the mixture probability from 2^{-63} to 2^{-55}
- 3 possible mixtures instead of 7, so in total $3 * 2^{-55}$

Experimental Verification of Our Attack

- We have **experimentally verified** our theoretic analysis
 - 4 possible amounts of data
 - 200 different keys for each amount
 - Calculated the partial and full key recovery probability

Amount Of Data	3 Byte recovery probability	Full Key recovery probability
2^{22}	0.5	0.031
$2^{22.25}$	0.715	0.187
$2^{22.5}$	0.935	0.715
2^{23}	1	1

Extending to 7 round AES

Technique	Rounds	Data	Memory	Time
Gilbert-Minier	7	2^{32}	2^{80}	2^{144}
Demirci-Selcuk	7	2^{99}	2^{98}	2^{99}
Demirci-Selcuk	7	2^{32}	$>2^{100}$	$>2^{100}$
Square	7 (192-bit)	2^{36}	2^{36}	2^{155}
Square	7 (256-bit)	2^{36}	2^{36}	2^{171}

Extending to 7 round AES

Technique	Rounds	Data	Memory	Time
Gilbert-Minier	7	2^{32}	2^{80}	2^{144}
Demirci-Selcuk	7	2^{99}	2^{98}	2^{99}
Demirci-Selcuk	7	2^{32}	$>2^{100}$	$>2^{100}$
Square	7 (192-bit)	2^{36}	2^{36}	2^{155}
Square	7 (256-bit)	2^{36}	2^{36}	2^{171}
Mixture (our)	7 (192-bit)	2^{27}	2^{32}	2^{152}
Mixture (our)	7 (192+256)	2^{27}	2^{40}	2^{144}

Summary and open questions

- We broke a **20 year old attack complexity barrier** on 5 round AES, improving it by a factor of **1000**
- We obtained an improved “**practical data and memory**” attack on 7 round AES
- Is it possible to **extend** our new attacks to **larger** versions of AES?
- Can our results be used to **attack** schemes which use reduced 4/5 round AES as a **component**?